

Trevor Hughes
Person's Risk Assessment

Who are You doing business with?

A comprehensive guide to business verification
and Ultimate Beneficial Owners

Platforma **365**

Customer Details

First Name	Trevor
Last Name	Hughes
Birthdate	31-08-1961
Passport	12345678
Passport's country of issue	United Kingdom
Address	1961-08-31
Is this person an individual entrepreneur or self-employed, or employee, or unemployed?	No
Tax number	
Website (if any)	

Customer Risk

Status			
Is this person known to you personally/existing client, or is this a new business relationship?		This is a new business relationship	
Have you met with this person face to face?		No	
If not, has the identity of the person been verified?		No The individual will be verified via SumSub identity verification	
PEPs, Sanctions and Adverse Media			
Has this person been screened against PEPs, Sanctions and Adverse Media?		Yes The individual may be holding or have held a prominent political position within Canada, British Columbia.	
Has this person appeared in sanctioned lists or negative news, e.g., past ML schemes, fraud, other criminal activities, or ongoing or past investigations or convictions etc?		No	
Does this person have criminal records?		No	
Is this person a politically exposed person?		Yes The risk assessment findings and proposed risk mitigation measures will be reviewed and approved by the director of the organization.	
Assessment		Internal reporting procedures will be established to promptly communicate identified PEPs to the relevant stakeholders within the organization. Enhanced Due Diligence (EDD) procedures will be implemented to gather additional information and monitor the individual's financial activities closely. Continuous monitoring mechanisms will be established to track changes in the individual's PEP status and associated risk factors. An approval process for transactions involving PEPs will be instituted to ensure heightened scrutiny.	
Open Media Findings			
Headline	Source	Quotes	Comment
Member of the Cabinet of Ministers	https://www2.gov.bc.ca/gov/content/governments/organizational-structur...	Function: Deputy Minister, Ministry of Labour	Potential match

Sanction List / Adverse Media / PEPs Cheks

This person was screened on 12-12-2023
No matches found

Sector & Industry

Business description	The Director of SMART GROUP FACILITIES MANAGEMENT LIMITED (14495941)
Regulated Sectors & Industry	No
Is the industry or sector commonly associated with higher risks of corruption?	<u>Yes</u> The individual's involvement in various businesses and associations necessitates closer scrutiny due to potential conflicts of interest.
Does this person deal with dual-use goods, goods subject to export control, or complex equipment for which they lack technical background or which is incongruent with their stated line of activity?	No
Assessment	

Geographical Risk Assessment

Citizenship	United Kingdom
Place of residence	United Kingdom
Main Place(s) of bussiness	United Kingdom
Place of bank account	unknn
Destination of funds	Unknown Jurisdiction
Where does the person's income come from?	Personal investments
Are any of the countries a High-Risk Jurisdiction or deemed to have AML deficiencies?	No
Does this person reside in or conduct business in a high-risk country or a country with known higher levels of corruption, organised crime, or drug production or distribution?	No
Are there any other concerns with the countries where the person lives, conducts business, or has citizenship?	No
Are there any other red flags identified?	No
Assessment	

Products and Services Risk Assessment

for an individual entrepreneur / self-employed person

Products Offered	
Products	
Are there any other red flags identified?	No
Assessment	

Delivery Channel Risk Assessment

Are there any other red flags identified?	No
Assessment	

Transaction Risk Assessment

Does this person want to carry out a large one-off transaction?	No
Is this person engaged in a business that involves significant amounts of cash?	No
Is this person involved in / running a high risk or high cash turnover business?	No
Does this person engage in complex trade deals involving numerous third-party intermediaries in lines of business that do not accord with their stated business profile established at onboarding?	No
Is this person making frequent transactions with the same individuals or group of individuals?	No
Does this person make use of virtual currencies?	No
Are there any other red flags identified?	No
Assessment	

Conclusion

The identified risks associated with Trevor Hughes's PEP status will be managed through the implementation of robust due diligence procedures and continuous monitoring.

A detailed record of the risk assessment process, including supporting documentation, will be maintained for audit and compliance purposes.

Documented policies related to PEP identification and risk assessment will be updated to reflect the current findings.

The organization is committed to complying with all relevant regulatory requirements and internal policies to mitigate potential risks effectively.